IAP6 Rec'd PCT/PTO 20 DEC 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| | ANSMITTAL LETTER TO | | ATTORNEY'S DOCKET NUMBER 32352-229262 | | | |
|--|---|---------------------------------------|---|--|--|--|
| | DESIGNATED/ELECTED ICERNING A SUBMISSIC | | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | | |
| | ATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | |
| | PCT/IB2004/002960 | 10 September 2004 | 11 September 2003 | | | |
| TITLE OF INVENTION A METHOD AND APPARATUS FOR DEPOSITION OF FILMS OF COATING MATERIALS, IN PARTICULAR OF SUPERCONDUCTIVE OXIDES | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | |
| BALDINI, Alberto, GAUZZI, Andrea, and ZANELLA, Sergio Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 2. x | 2. x This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. | 4. The US has been elected (Article 31). | | | | | |
| 5. | 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| b.[| b. has been communicated by the International Bureau. | | | | | |
| c is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| a is attached hereto. | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | |
| a are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | |
| d.[| c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | |
| 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. X | | | | | | |
| 10. | An annexes of the International Pre | eliminary Examination Report under PC | T Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | |
| 11. | An Information Disclosure Statem | nent under 37 CFR 1.97 and 1.98. | | | | |
| 12. | A first preliminary amendment. | | | | | |
| 13. | An Application Data Sheet under 37 CFR 1.76. | | | | | |
| 14. | A substitute specification is enclose | sed. | | | | |
| 15. | A copy of the published Internation | nal Application under 35 U.S.C. 154(d |)(4). | | | |
| 16. | Other: | | | | | |
| 17. | A second copy of the published | International Application under 35 L | J.S.C. 154(d)(4). | | | |
| 18. | | | pplication under 35 U.S.C. 1543(d)(4). | | | |
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Amount to be

charged

\$

130,00

PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 19. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/IB2004/002960 32352-229262 Other items or information: Copy of Notification of Missing Requirements dated October 27, 2006 X 20. The following fees have been submitted **CALCULATIONS PTO USEONLY** Basic national fee (37 CFR 1.492(a))...... \$300 S Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)...... \$ All other situations 23. Search fee (37 CFR 1.492(b)) International Searching Authority..... \$ International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations...... TOTAL OF 21, 22 and 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).

The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = \$ x \$250.00 Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims Independent claims x MULTIPLE DEPENDENT CLAIM(S) (if applicable) **TOTAL OF ABOVE CALCULATIONS =** 130.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 130.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 130.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 12/22/2006 LLANDGRA 00000026 220261 10571461 **TOTAL FEES ENCLOSED =** \$ 130.00 Amount to be \$ 130.00 DA refunded:

Page 2 of 3

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PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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| a. A check in the amount of \$ to cover the above | | | | | | |
|---|------------------------------------|--|--|--|--|--|
| b. x Please charge my Deposit Account No. 22-0261 in the amou A duplicate copy of this sheet is enclosed. | int of \$ to cover the above fees. | | | | | |
| C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0261 . A duplicate copy of this sheet is enclosed. | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. | | | | | | |
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| SEND ALL CORRESPONDENCE TO: | SIGNATURE | | | | | |
| | Robert Kinberg | | | | | |
| | NAME | | | | | |
| CUSTOMER NUMBER: 26694 | 22.22 | | | | | |
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS. P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/571,461

Alberto Baldini

32352-229262

INTERNATIONAL APPLICATION NO.

PCT/IB04/02960

I.A. FILING DATE

PRIORITY DATE

09/10/2004

09/11/2003

26694 **VENABLE LLP** P.O. BOX 34385 WASHINGTON, DC 20043-9998

CONFIRMATION NO. 7569 371 FORMALITIES LETTER

OC000000021007762*

Date Mailed: 10/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/13/2006
- Copy of the International Search Report filed on 03/13/2006
- Copy of IPE Report filed on 03/13/2006
- Copy of Annexes to the IPER filed on 03/13/2006
- Preliminary Amendments filed on 03/13/2006
- Information Disclosure Statements filed on 03/13/2006
- Request for Immediate Examination filed on 03/13/2006
- U.S. Basic National Fees filed on 03/13/2006
- Substitute Specification filed on 03/13/2006
- Priority Documents filed on 03/13/2006

The applicant needs to satisfy supplemental fees problems indicated below.

DKTED BY

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

CLIENT/MAT1 FINAL DEADLIN

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

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|-----------------------------|-------------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
| 10/571,461 | PCT/IB04/02960 | 32352-229262 |

FORM PCT/DO/EO/905 (371 Formalities Notice)